



NEIFELD, RICHARD SH0013UPCTUS1

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: Masashi Kawasaki et al.

USPTO CONFIRMATION CODE: 2602

SERIAL NO: 10/765,901

FILED: 01/29/2004

EXAMINER: Unknown

GROUP ART UNIT: 2811

FOR: Transistor and Semiconductor Device

ASSISTANT COMMISSIONER FOR PATENTS

ALEXANDRIA, VA 22313

37 CFR 1.54(b) REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Sir:

The Patent Office is requested to provide a corrected Official Filing Receipt for the attached. If you have any questions, please do not hesitate to contact us.

Attached to this request as attachment 1, is a copy of the Official Filing Receipt mailed 3/11/2005 showing the changes desired in red ink.

Please change the power of attorney section which states:

"Richard Neifeld 35299

Gregory Discher 42488

Nicole Coy 54051"

to

"Richard Neifeld 35299

Robert Crockett 42488

Nicole Coy 54051"

Also Attached to this request as attachment 2, is a copy of the executed declaration which clearly lists Robert Crockett as the attorney with registration number 42,488.

No fees are required. However, in the event that a fee is required, please charge the appropriate amount to our Deposit Account No. 50-2106.

4/18/2005
DATE

Respectfully Submitted,



Richard A. Neifeld, Ph.D.

Registration No. 35,299

Nicole A. Coy

Registration No. 54,051

Attorneys of Record

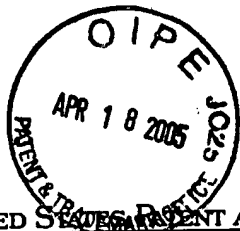
NAC

Printed: April 18, 2005 (4:30pm)

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pd

3-14-05
DHS



Attachment 1

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

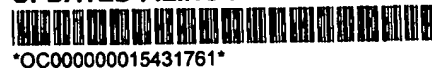
APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/765,901	01/29/2004	2811	1144	HASH0013U/PCT/US1	9	24	5

Neifeld IP Law, PC
Suite 1001
2001 Jefferson Davis Highway
Arlington, VA 22202

NAC: File Request for Correction
3/21/05

CONFIRMATION NO. 2602

UPDATED FILING RECEIPT



OC000000015431761

Date Mailed: 03/11/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Masashi Kawasaki, Sagamihara-shi, JAPAN;
Hideo Ohno, Sendai-shi, JAPAN;

Power of Attorney:

~~Richard Neifeld-35299~~
~~Gregory Discher-42488~~
Nicole Coy-54051

Robert Crockett 42488

Domestic Priority data as claimed by applicant

This application is a DIV of 09/850,732 06/06/2001 PAT 6,727,522 ✓
which is a 371 of PCT/JP99/06300 11/11/1999 ✓

Foreign Applications

JAPAN JP 10-326889 11/17/1998 ✓

If Required, Foreign Filing License Granted: 06/12/2004

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/765,901**

Projected Publication Date: 06/23/2005

Non-Publication Request: No

OFR_HASH0013UPCTUS1_050314.pdf
OFR_HASH0013 UPCTUS1_050314

Early Publication Request: No

Title

Transistor and semiconductor device

Preliminary Class

257

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

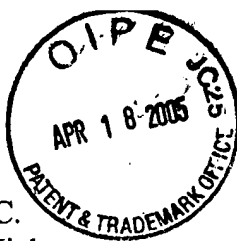
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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TRANSMITTAL LETTER AND AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RE: Attorney Docket No.: HASH0013UPCTUS1
Application Serial No.: 10/765,901
Filed: 01/29/04
Title: TRANSISTOR AND SEMICONDUCTOR DEVICE
Inventor: KAWASAKI ET AL.
Group Art Unit: 2811

SIR:

Attached hereto for filing are the following papers:

37 CFR 1.54(b) Request for Corrected Official Filing Receipt
Attachment 1 (A Copy of the Updated Filing Receipt Showing the Changes Desired in Red Ink)
Attachment 2 (A Copy of the Executed Declaration and Power of Attorney)

Our check in the amount of \$ 0 is attached covering the required fees.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 50-2106. A duplicate copy of this sheet is enclosed.

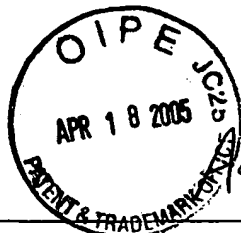
4/18/2005
DATE

Respectfully Submitted,

Richard A. Neifeld, Ph.D.
Registration No. 35,299
Nicole A. Coy
Registration No. 54,051
Attorneys of Record

Printed: April 18, 2005 (4:42pm)

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Attachment 2

Declaration and Power of Attorney for Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

私は、以下に記名された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先そして国籍は、私の氏名の後に記載された通りである。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明について、特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私は、最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Transistor and Semiconductor Device

Transistor and Semiconductor Device

上記発明の明細書はここに添付されているが、下記の欄がチェックされている場合は、この限りでない：

the specification of which is attached hereto unless the following box is checked:

☒ 01/29/04 の日に出願され、
この出願の米国出願番号またはPCT国際出願番号は、
10/765,901 であり、且つ
の日に補正された出願（該当する場合）

☒ was filed on 01/29/04
as United States Application Number or
PCT International Application Number
10/765,901 and was amended on
(if applicable).

私は、上記の補正書によって補正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編規則1.56に定義されている、特許性について重要な情報を開示する義務があることを認める。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the need of the individual case. Any comments on the amount of time you are required to complete this form should be sent to Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

Japanese Language Declaration (日本語宣言書)

私は、ここに、以下に記載した外国での特許出願または発明者証の出願、或いは米国以外の少なくとも一國を指定している米国法典第35編第365条(a)によるPCT国際出願について、同第119条(e)(d)項又は第365条(b)項に基づいて優先権を主張するとともに、優先権を主張する本出願の出願日より前の出願日を有する外国での特許出願または発明者証の出願、或いはPCT国際出願については、いかなる出願も、下記の枠内をチェックすることにより示した。

I hereby claim foreign priority under Title 35, United States Code, Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application for which priority is claimed.

Prior Foreign Application(s)

外国での先行出願

JP 10-326889

Japan

17/11/1998

(Number)
(番号)

(Country)
(国名)

(Day/Month/Year Filed)
(出願日/月/年)

Priority Not Claimed

優先権主張なし

☐

(Number)
(番号)

(Country)
(国名)

(Day/Month/Year Filed)
(出願日/月/年)

☐

私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編118条(e)項の利益を主張する。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Application No.)
(出願番号)

(Filing Date)
(出願日)

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(c)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が、米国法典第35編第112条第1段に規定された態様で、先行する米国出願又はPCT国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日またはPCT国際出願日との間の期間中に入手された情報で、連邦規則法典第37編規則1.56に定義された特許性に関わる重要な情報について開示義務があることを承認する。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

09/850,732

06/06/2001

Issued

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Status: Patented, Pending, Abandoned)
(現況: 特許許可、係属中、放棄)

PCT/JP99/06300

11/11/1999

Pending

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Status: Patented, Pending, Abandoned)
(現況: 特許許可、係属中、放棄)

私は、ここに表明された私自身の知識に係わる陳述が真実であり、且つ情報と信ずることに基づく陳述が、真実であると信じられることを宣言し、さらに、故意に虚偽の陳述などを行った場合は、米国法典第18編第1001条に基づき、罰金または拘禁、若しくはその両方により処罰され、またそのような故意による虚偽の陳述は、本出願またはそれに対して発行されるいかなる特許も、その有効性に関与が生ずることを理解した上で陳述が行われたことを、ここに宣言する。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration
(日本語宣言書)

委任状： 私は本出願を審査する手続を行い、且つ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、下記の弁護士及び／または弁理士を任命する。(氏名及び登録番号を記載すること)

Richard A. Neifeld, Reg. No. 35,299; Robert G. Crockett, Reg. No. 42,488;
and Nicole A. Coy, Reg. No. 54,051

書類送付先

Neifeld IP Law, PC
2001 Jefferson Davis Hwy, Suite 1001
Arlington, VA 22202
USPTO Customer No. 31518

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

Richard A. Neifeld, Reg. No. 35,299; Robert G. Crockett, Reg. No. 42,488;
and Nicole A. Coy, Reg. No. 54,051

Send Correspondence to:

Neifeld IP Law, PC
2001 Jefferson Davis Hwy, Suite 1001
Arlington, VA 22202
USPTO Customer No. 31518

直通電話連絡先： (氏名及び電話番号)

Richard A. Neifeld, Ph.D.
Tel: 703-415-0012

私は、Neifeld IP Law, PC (ナイフェルド IP 法律事務所) がその弁護士権限授与番号を本宣言書に随時付け加えることを承認します。

Direct Telephone Calls to: (name and telephone number)

Richard A. Neifeld, Ph.D.
Tel: 703-415-0012

I authorize Neifeld IP Law, PC to add at any time their attorney docket number to this declaration.

唯一または第一発明者氏名		Full name of sole or first inventor	
Masashi KAWASAKI		Masashi KAWASAKI	
発明者の署名	日付	Inventor's signature	Date
住所 4-2-5-116, Sagami-cho, Sagami-hara-shi, Kanagawa 228-0803 Japan		Residence 4-2-5-116, Sagami-cho, Sagami-hara-shi, Kanagawa 228-0803 Japan	
国籍 Japanese		Citizenship Japanese	
郵便の宛先 Same as Above		Post Office Address Same as Above	
第二共同発明者がある場合、その氏名		Full name of second joint inventor, if any	
Hideo OHNO		Hideo OHNO	
第二共同発明者の署名	日付	Second inventor's signature	Date
住所 3-33-10, Katsura, Izumi-ku, Sendai-shi, Miyagi 981-3134 Japan		Residence 3-33-10, Katsura, Izumi-ku, Sendai-shi, Miyagi 981-3134 Japan	
国籍 Japanese		Citizenship Japanese	
郵便の宛先 Same as Above		Post Office Address Same as Above	

(第三以下の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)